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- * IN THE HIGH COURT OF DELHI AT NEW DELHI
- + LPA 30/2022 & CM APPL. 1837/2022

SUBRAT KUMAR PANIGRAHI

.....Appellant

Through: Mr. Sandeep Kumar Mahapatra

and Mr. Tribhuvan, Advs.

versus

HINDUSTAN PETROLEUM CORPORATION LIMITED AND ORS ...

....Respondents

Through: Mr. Pavan Narang, Ms. Priyanka Das, Ms. Nishat Nafisa Ahmed, Ms. Aishwarya Chhabra, Mr. Himanshu Sethi and Ms. Abhimohini. Advs.

CORAM:

HON'BLE MR. JUSTICE C. HARI SHANKAR HON'BLE DR. JUSTICE SUDHIR KUMAR JAIN

> JUDGMENT (ORAL) 23.10.2024

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C. HARI SHANKAR, J

1. This appeal, under Clause 10 of the Letters Patent applicable to this Court, orders dated 28 July 2021 and 6 August 2021, passed by the learned Single Judge in WP (C) 4005/2020¹. During the course of arguments, the challenge narrowed down to the order dated 6 August 2021, as would be explained hereinafter.

¹ Subrat Kumar Panigrahi v HPCL & ors

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Facts, and the challenge

- 2. The appellant joined the services of the Hindustan Petroleum Corporation Ltd², which stands arrayed as Respondents 1 to 3 in the present appeal. As the appellant had not been communicated his Annual Confidential Reports³ for the years 1995 to 2015, he approached this Court by way of WP (C) 2941/2019. In the counteraffidavit filed by way of response to the writ petition, the respondent disclosed the appellant's ACRs to him. The appellant was aggrieved by the gradings of "4" granted to him for the year 1996-1997 and the grading of "3" is granted to him for the year 2018-2019. In accordance with the liberty granted to the appellant by this Court while disposing of WP (C) 2941/2019 on 17 January 2020, the appellant represented against the aforesaid gradings of "4" for the year 1996-1997 and "3" for the year 2018-2090. The representations were rejected by the respondent vide orders dated 11 January 2020 and 27 January 2020. The appellant, therefore, re-approached this Court by way of WP (C) 4005/2020, in which the impugned orders have come to be passed.
- **3.** The applicable Guidelines issued by the respondent, governing grant of gradings in ACRs, stipulated thus:

Grading	Description	Guidelines
1	Exceptional Performance;	Far exceeds all individual
	Rarely equalled	goals/targets as set out in the performance plan
		Performs all job responsibilities far

² "the respondent", hereinafter

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³ "ACRs" hereinafter





		above the requirement as specified in
		the job description
2	Performance better than normally expected	Meets all and exceeds most individual goals/targets as set in the performance plan
		Performs all job responsibilities in excess of the key requirements for the job as specified in the job description
3	Normally expected performance, required results	Meets all individual goals/targets set in the performance plan
		Meets the overall standard and/or expectations established for the job as specified in the job description
4	Performance less than normally expected of the position; not producing required results consistently	Generally meets individual goals/targets as set in the performance plan
		Does not meet the overall standard for the job as specified in the job description
5	Invariably poor performance	Does not meet most individual goals/targets as set in the performance plan
		Does not meet job requirements
		Performance demonstrates significant weakness in most areas
		Counselling and extensive training is required; Individual performance needs to be re-evaluated within 6 months

Impugned Order dated 28 July 2021

- **4.** The petitioner, in his writ petition,
 - (i) challenged the ACR grading of "3" granted to him for the year 2018-2019, as well as the orders whereby his

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representation against the said grading was rejected, and, consequently, sought upgradation of his grading, for 2018-2019, to "1",

- (ii) challenged the ACR grading of "4" granted to him for the year 1990 6 April 1997, as well as the orders whereby he's representation against the grading was rejected, and, consequently, sought upgradation of his grading, for 1996-1997, to "1",
- (iii) sought constitution of review Departmental Promotion Committees⁴ to consider him for promotion to the next Salary Grade C w.e.f. 1998 and 2004 respectively, and
- (iv) sought modification of the Promotion List dated 19 June 2020 issued by the respondent and inclusion, therein, of his name.
- 5. We may note, straightaway, that the appellant did not implead any person who had been promoted by the DPCs w.e.f. 1998 and 2004 or any person who figure in the Promotion List dated 19 June 2020. Without impleading such affected persons, prayers (iii) and (iv) could, in any case, not have been granted. The petitioner, however, restricted his relief, before the learned Single Judge as well as before us in appeal, to the correctness of the gradings awarded to him. As such, this issue does not survive further for consideration.
- **6.** Before the learned Single Judge, learned Counsel for the appellant submitted that he was restricting its challenge to the grading

⁴ DPCs

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of "3" awarded to him for the year 2018-2019, and was not pressing the challenge against the grading of "4" awarded to him for the year 1996-1997. This is thus recorded, in para 2 of the judgment dated 28 July 2021:

- "2. At the outset, learned counsel for the petitioner would submit that he shall confine the petitioner to challenge the Adverse Performance Rating of '3' for the year 2018-19. In other words, he shall not press the prayer made with regard to his performance rating for the year 1996-1997 and grant of promotion thereafter including his prayer for retrospective promotion to Grade 'C' w.e.f. 2004."
- **7.** Accordingly, the learned Single Judge, *vide* the first order under appeal, dated 28 July 2021, adjudicated on the said challenge.
- **8.** Apart from referring to the judicial authorities on which the appellant placed reliance, the judgment dated 28 July 2021 record the rival contentions, and proceeds to reason thus:
 - "5. According to the learned counsel for the petitioner, it is the case of the respondents that the petitioner has either met all the targets or exceeded the targets. Hence, there is no reason for the petitioner to be rated as '3'. He qualifies his submission to contend, the said observation of the Reporting/Reviewing Officer cannot be treated as only 'Good'. That apart, he also stated that the rating of '3' is actuated by *mala fide* on the part of the Management and in fact, as the petitioner has filed this petition, he has been transferred to a distant place like Mysore. He states, even the rejection of the appeal of the petitioner is not in conformity with the observations made by the Authorities in the ACR, as referred to above. Rather, there is no reason for the Authorities to reject the appeal. He relies upon the following judgments in support of his case that his gradings should be upgraded.
 - 6. On the other hand, Mr. Sachin Datta, learned Sr. Counsel appearing for the respondents would contend that the learned counsel for the petitioner has not drawn the attention of this Court to page 65 of the paper book wherein under the heading "Key

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Areas where performance was not in line with targets", the following has been stated:-

"The officer could not complete the project (Revamping of ETP) assigned to him during the year due to his lack of involvement and initiatives. Delayed planning for execution had made it to carry over for next year"

7. Mr. Datta states that in fact, the petitioner had not contested any of the parameters of the ACRs, a reference of which has been made above. Mr. Datta has also drawn my attention to page 80, which is an order rejecting the appeal of the petitioner against his performance rating, wherein the Authority, after due application of mind, has rejected the appeal. He has read to me the decision of the Authority on the grievance of the petitioner and decided to retain the performance rating as '3 '. In this regard, I may reproduce the relevant decision of the Authority in the following manner:-

"This is in reference to the MERC grievance logged by you and subsequent MERC meeting conducted to address the grievance. In this regard, we wish to inform you that the counter-signing authority has critically reviewed the MERC recommendation, which is based on the inputs received through personal interaction during MERC meeting and review of HRD documents including comments by Reporting Officer, Reviewing Officers and Moderation Committee. Based on the same, it is observed and commented as under:

Significant achievements namely; installation of access control and CCTV, HSE reports in portal, etc., are recorded in HRD documents and appropriate comments are recorded by RO/RVO. 2. Assessment of KPIs was reviewed objectively and on overall basis found in order. 3. It is noted that majority of the KPIs were assessed as "Meeting Target". 4. It is noted from HRD document that there are certain key performance areas, were targets were not achieved. For eg. Project on Revamping of ETP. 5. Assessment and feedback by RO was duly acknowledged and accepted by you as per HRD documents. 6. Assigned Rating is commensurate with achievements and within the "system-suggested Rating band", which is based on the assessment of KPIs. 7. Assigned Rating is reviewed considering inter-se performance within the workgroup. In view of the above, detailed review and deliberations on the

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merit of the case, it is advised that assigned Rating is in order and is "retained".

- 8. In effect, it is the submission of Mr. Datta that the observations made in the ACR, as sought to be highlighted by learned counsel for the petitioner is totally misleading. It is a case where the petitioner could not able to meet targets of the work assigned to him. It is keeping in view this aspect also, the petitioner has been rated as 'Good'. He also highlight page 30 of the counter affidavit to state as to what process is evolved by the respondents to carry out a performing rating of a particular officer. The relevant paragraph is reproduced as under:-
 - "6. That, with regards to contents of Para 3(xi) and (xii), submitted that the respective Supervisor(s)/Reporting Officer(s) of the Petitioner had highlighted the nonperformance of the Petitioner and the areas of development/improvement plan of the performance of the Petitioner but the Petitioner did not relent upon the said advice which was reflected in the overall performance output /rating of the Petitioner. Further, as far as the transfer of the Petitioner is concerned, it is pertinent to highlight here that as per the terms of employment of the Petitioner and business exigencies of the Respondent Corporation, the said transfer was affected, and the Petitioner, by adopting deceitful tactics, is trying to mislead the Hon'ble Court by hinting malice in the said transfer."
- 9. Having heard the learned counsel for the parties, the only issue, which arises for consideration is whether the performance rating of the petitioner as '3' is justified or not. The submission of the learned counsel for the petitioner is that in the ACR, it is the observation of the Authorities that the petitioner has met all the time lines of the work assigned to him and in fact for certain work, he has exceeded the target. In other words, there is no reason for the Authorities to give a performance rating of '3'. Such a submission, apparently, may not be correct for the reason that at page 65, which is also part of the ACR, which I have reproduced above, it is noted that the petitioner could not complete the project of revamping of ETP because of which the project had to be carried forward to the next year.
- 10. That apart, I find that the respondent has also considered in detail, the representation made by the petitioner with proper application of mind, as is clear from the order itself. That apart, I

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find that there is a very foolproof system for assessing performance of an Officer at different levels.

- 11. That apart, the plea of the learned counsel for the petitioner of *mala fide* may not be sustainable as the petitioner neither has made the Reporting Officer/ Reviewing Officer or a higher officer than them who accepts the performance rating as a party in this petition. It is the case of the petitioner that the Reporting Officer and Reviewing Officer have graded him as '3', which rating the petitioner accepts. Hence, there cannot be any *mala fide* on the part of the Reporting Officer/Reviewing Officer. Even the higher authorities have not been made a party, hence the allegations cannot be gone into.
- 12. On a specific query to the learned counsel for the petitioner that did the petitioner made an averment in the petition that he has completed ETP project on time, no justifiable answer is forthcoming. In any case, it is the satisfaction of the authority concerned, who actually supervise the work of the Officer, which is relevant. It is the case of the respondents, that assigned rating is reviewed considering inter se performance with the working group and the rating has been retained after deliberations. The scope of interference in the cases of ACR is very limited. In this regard, I deem it appropriate to refer to the judgment of a Division Bench of this Court in the case of *Navin Kumar Garg v Union of India*⁵, wherein in paragraph 9 it was held as under:
 - Being aggrieved by that speaking order, the petitioner had, as stated above, filed the second Original Application, which has also been considered by the Tribunal. The Tribunal is entirely correct in its observation in noting that in a matter, such as recording of ACRs of an employee where a representation has been made thereagainst and the orders have been passed thereon, there is very little scope for interference by the Tribunal. The same applies to us. We cannot substitute our views for those of the reporting or of the reviewing authorities. In fact, there is no occasion whatsoever, for arriving at any view with regard to an officer inasmuch as we have no knowledge about his working. The persons, who have knowledge of his work, are the reporting and the reviewing officers and they have to grade the officer concerned. In the present case, the gradings were given in the first round without communicating the downgrading to the officer. But that lacuna had been set at rest by the Tribunal by virtue of

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⁵ 2012 SCC OnLine Del 1593





its order dated 01.04.2011 by directing that the representation of the petitioner in this regard be considered by the competent authority. That has been done. The representation has been considered and a speaking order has been passed. The competent authority has decided to maintain the original gradings given to the petitioner. There is no material before us and there can be no material before us which would enable us to take a different view insofar as the gradings are concerned. As regards the procedure to be adopted after the passing of the order of the Tribunal dated 01.04.2011, we are clear that the competent authority, having considered the representation in detail and having passed a speaking order, has complied with the same. "

17. In view of my discussion above, I do not see any merit in the writ petition. The same is dismissed."

9. The circumstances in which the subsequent order dated 6 August 2021, though illustrative of the magnanimity for which the learned Single Judge who has passed the impugned orders is well known, came to be passed, are somewhat disturbing. Despite the specific statement of learned Counsel who had appeared on behalf of the appellant before the learned Single Judge on 28 July 2021, that he was restricting its case to the challenge to the grading of "3" awarded for the year 2018-2019, and was not pressing the challenge to the grading of "4" awarded for 1996-1997, the appellant proceeded to file CM 24705/2021, alleging that no such submission had been made by his Counsel on 28 July 2021 and, therefore, seeking to place the challenge to the grading of "4" awarded to the appellant for the year 1996-1997. The application was not signed by the learned Counsel who had argued the matter on 28 July 2021, and was accompanied only by an affidavit of the appellant. The learned Single Judge,

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therefore, called upon learned Senior Counsel for the respondent, who had appeared before him on 28 July 2021 – and who is now a learned Sitting Judge of this Court – to vouchsafe regarding the proceedings which had transpired on 28 July 2021. The learned Senior Counsel confirmed that the learned Counsel for the appellant had in fact confined his challenge to the grading of "3" awarded to the appellant for the year 2018-2019.

- **10.** Despite this, the learned Single Judge, displaying characteristic fairness and magnanimity, condescended to hear the appellant even on the challenge to the grading of "4" awarded for the year 1996-1997. We, frankly speaking, might not have been inclined to be so generous. Apropos the conduct of the appellant, we say no more.
- 11. The learned Single Judge proceeded to hold, unexceptionably, that the challenge, by the appellant, to the grading of "4" awarded for the year 1996-1997, as well as the prayers for convening of review DPCs, were hit by delay and laches. Before us, Mr. Mahapatra did not seriously press for setting aside the judgment dated 6 August 2021, which rejected the challenge to the grading of "4" awarded for the year 1996-1997 and the prayers for convening review DPCs and consequential reliefs as barred by delay and laches, and once again restricted his challenge to the grading of "3" awarded to the appellant for the year 2018-2019.

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12. We, therefore, are only required to consider the challenge, by the appellant, to the grading of "3" awarded to the appellant for the year 2018-2019.

Discussion and Findings

13. Having heard learned Counsel for both sides, and perused the record, we are of the opinion that there is substance in the appellants challenge to the grading of "3" awarded to the appellant for the year 2018-2019. A reading of para 6 of the impugned judgment dated 28 July 2021 reveals that the respondent relied, to support the said grading, on the following note made by the Reviewing Officer in the appellant's ACRs for the year 2018-2019, against the head "Key Areas where performance was not in line with targets":

"The officer could not complete the project (Revamping of ETP) assigned to him during the year due to his lack of involvement and initiatives. Delayed planning for execution had made it to carry over for next year."

14. Mr. Mahapatra took us through the comments made by the Reporting Officer with respect to various objectives to be achieved by the appellant during the year 2018-2019, as entered in the appellant's ACRs, and we deem it appropriate to reproduce them, *in extenso*:

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PERFORMANCE AND DEVELOPMENT REVIEW - PART A

2018-2019

Appraisee	SUBRAT KUMAR PANIGRAHI-30067320	Print Date	28-05-2019
Reporting Officer	DAS ABHIMANYU-31909370	Reviewing Officer	SUSHIL KUMAR RAI-31910370
Designation	10E-Ch. Plant Manager	Designation	10F-General Manager - Installation
Comment	I. To ensure nil incident/accident in the plant. 2. To ensure the HSE Index as per the AOP target of 94%. 3. To ensure dispatch of safe cylinders from plant thru conducting SQC of filled cylinders. 4. To ensure 100% comply of all pending recommendations more than two years made in MDSA/SSA/Security Audit/0/ISD.	Comment	Keep the plant ready from OISD inspection point of view. Complete pending recommendation of last OISD, by Sept 18 end

Financial / Physic	cal					
Strategic Objectives	Key Performance Indicators	Unit of Measurement	Targets for the Year	H1 Milestone (April- September)	H2 Milestone (October- March)	Weightage
Safety	Near Miss reporting with RCA - Min 2 every month	Numbers	24.0	12	12	9
HI (April to Septembe	r)					
suggestion with implem parking area due to wate truck while coming out	eporting 15 nearmisses over to cutation of measures to prever it logging, improper cable terr from loading platform. These cut workers who are working a March)	nt recurrence. Near misses mination which may turn t finding were also discusse	includes possible toppling o potential hazard, Non- cl	of the packed truck in osing of backside cage of	Exceeding Target	
te-occurrence of the san 24 Near misses arised o drain cap of LPG comp which create potential h	reporting 13 near misses over ne. The nearmisses also upload at of unbalanced electrical loa ressor in open condition, broka azards. The findings were disc repetition by enhancing safety	ded in our portal. During t d in main feeder of building on rear view mirror, unhea ussed in the succeeding Si	the year total 28 nearmisses ing, broken chain of swing path by DCP fire extinguisher afety Committee Meetings.	reported over target of platform at TWD gantry, is in truck etc. were reported	Exceeding Target	
Safety	HSE Index	Percentage	94.0	93	95	9

xceeded the target by acl istorical. The target exce	tieving 96% HSE index ove eded due to overall improve	r target of 93% which is 3% ment in statutory compliance	improvement over target e and compliance of audit	and 2% improvement over recommendations.	Exceeding Target	
H2 (October to M	arch)					
Il period of the current y sistorical as well as current ecommendations(OISD)	uieving 98.14 % HSE Index ear. Cumulatively 97.9% HS at year target. We excelled in by 100% and all most all int BBS) culture of plant, clea	E index is achieved for the achieving the HSE index a ernal safety audit recommen	year over target of 94%, was we have complied extern ndations also before set tars	hich is 3% improvement in al safety audit set date. The improved	Exceeding Target	
Safety	Conducting Fire Drills - fortnightly	Numbers	24.0	12	12	6
H1 (April to September)						
Exceeded the target by co ingaging security staff and fire drill in each of 12 F	nducting 14 fire drills over a d contract employees as per ire Zones of plant.	target of 12. Out of 14 dril fire organisation chart. The	ls 2 drills were conducted in 14 fire drills are carried ou	n off-shift hours by t by conducting minimum	Meeting Target	
H2 (October to M	arch)					
year over a target of 24 No Clause 13.2.5 on fortnight security guards. The higher preparedness of plant man	nducting 13 fire drills over s so. Fire drills were conducte basis. We have conducted 2 sot risk area of the plant i.e. I and machinery were well a thile witnessing the drill dur	d in 12 designated risk zon fire drills in off shift hours the filling shed was covered ppreciated by Govt officials	es covering all ERDMP So in H2 period to enhance sa for fire drill once in each a s, mutual aid members and e	enario and OISD 144 fety preparedness of and every quarter. The	Meeting Target	
Safety	No of accidents & Incident	Numbers	0.0	0	0	4
H1 (April to September)				1		<u> </u>
ould achieve NIL accide	exceedingly well maintenance nts and incidents during the d which is exceedingly well t.	period under review, Furth	er no loss of working hours	and no loss of property	Meeting Target	
H2 (October to M	arch)					
security gazettes, we achi-	ngly well maintenance of en	accidents or incident THRO	n, fire fighting equipments, DUGHOUT THE YEAR. D h hazard was also averted.	security manpower and turing the last monsoon ,the	Meeting Target	

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Enhancement	Training to cover 100% employees (no.of	remoers	0.0	*	_	•
	personnel)			<u></u>		l
H1 (April to September)						
Exceeded the target as 5 pe 24-25 August 2018 at IFSI	ersons nominated for LFT p DMS, Baroda.	aining was conducted on	Meeting Target			
H2 (October to Ma						
Exceeded the target as 4 pe 8 people undergone trainin engaged in safety and mair which is the validity period	ersons attended Live fire tra ig during the current year or ntenance job, 50% strength d of live fire training certific	of 2 persons. Cumulatively 6 contract employees raining within last 3 years	Exceeding Target			
Reliability of Operations & Services	Availability & uptime of Safety equipments through proper Maintenance schedules/practices viz, Fire engines, Stand by engines, GMS, Fire extinguishers etc including calibration & testing	Percentage	100.0	100%	100%	8
H1 (April to September)						
Exceeded the target as syst system of fire fighting syst operations of en-route LPC monitoring system and oth safety equipments like SR'	tem is modernised by additi tem.Effectiveness of ERV in 3 tankers in 3 occasions dur er safety equipments were V, TRV, Pressure gauges, V	hance emergency alarm g real time rescue ing equipments, Gas rious audit operations. All	Meeting Target			
H2 (October to Ma	arch)					
Healthiness of ERV found excellent in handling en-route bulk LPG tankers rescue operations on 27.11.18 and during ERV audit by HSE-NZ in H2. commissioning of real time data log system helped monitoring of safety equipments like fire engines, lockey pump, auto FF system and panel round the clock as all data is logged and can be verified at any point of time. 1256 meters of bydrant pipelines replaced. All existing hydrant lines and FF equipments were painted which were submerged in monsoon as plant was waterlogged. All safety fittings like SRV, TRV, Gauges, were verified and calibrated in due time. All sensors of GMS were verified, replaced on need basis & calibrated to ensure giving audio visual signals during any fault in the system.					Exceeding target	
Safety & security preparednes	Night Inspections: Twice a month	Numbers	24.0 Alakh	12	12	6
			7-11.2			
H1 (April to September)						
Exceeded the target by con	ducting 13 inspections over	r a target of 12 resulting in ?	NIL security breach or theft.	-	Meeting Target	
H2 (October to Ma	,					
During H2, 14 inspections out over a target of 12. The breach or irregularities dur	during night or in odd hour e inspections has strengthen ing the year under review.	s were carried out over targ ed the security system of th	et of 12. Hence cumulativel e plant resulting in NIL occ	y 27 inspections carried surrence of any security	Meeting Target	
Customer						
Strategic Objectives	Key Performance Indicators	Unit of Measurement	Targets for the Year	H1 Milestone (April- September)	H2 Milestone (October- March)	Weightage
Cost Leadership	Recognition of Exceptional Performance in Safety & Sachet Implementation: No.of Officers & workmen recognised	Numbers	To recognize 12 persons among officers / employees / contract workers/ truck crew and awarding them during Independence day/ Republic day/Sachet meetings.	To recognize 6 persons among officers / employees / contract workers/ truck crew and awarding them during Independence day/ Sachet meetings.	To recognize 6 persons among officers / employees / contract workers/ truck crew and awarding them during Republic Day/ Sachet meetings.	6
H1 (April to September)						
BBS and safety in the plan day 2018. Further 4 person	Exceeded the target by recognizing and awarding 16 persons over target of 6 for exceptional performance in implementing SACHET, BBS and safety in the plant relected among officers, employees and contract workmen. All were rewarded during 72nd Independence days 2018. Further 4 persons awarded with Sachet Safety award for both quarters selected among contract labourers and Truck crew.3 best participants were also awarded during Swachh Bharat Abhiyan in July 2018 while conducting various competitions on Swachhta & Satyata.					
H2 (October to M						
Exceeded the target by recofficers, 4 employees, 12 Sachet safety award was g awards were distributed to week (4-10 march 2019).	Exceeded the target by recognizing and rewarding 14 persons over a target of 6 during H2 Cumulatively 37 persons including 2 efficers, 4 employees, 12 packed truck crew and 19 contract worknem were rewarded in this year during various occasions. During H2 sachet safety award was given to completion of each quarter. 4 wards were distributed to contract workers and security staff by arranging quiz and slogan competition during 48th National Safety week (4-10 march 2019). 2 contract workers were awarded on spot at different time at shop foor during verbal quiz on SOP. 2					

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Customer Value Maximisation	Complaint resolution: Resolution of complaints from vendors & Contractors - within 30 days	Days	Complaint resolution within 30 days.	Complaint resolution within 30 days.	Complaint resolution within 30 days.	
HI (April to September)					
Exceeded the target by re GST data uploading and talso strengthened by cond	solving the grievance of 2 ve the same was resolved in coo ducting 1 vendor meet on 11.	endors within 2 days of occ ardination with IS departme .09.2018 and 2 transporters	urrence of grievance. The gr int. The grievance redressal i meets on 25.04.2018 & 21.0	ievance was related to nechanism of plant was 99,2018.	Meeting Target	
H2 (October to N	larch)					
meet and 3 vendors meet grievance. During H2 we contractor was called and We have also organised E	29.10.18)and 2 Nos vendors were arranged during the ye- have received grievance of 'i in consultation with concern PF awareness camp on 02.1 solved by EPFO Enforcement	ar to strengthen grievance r 2 contract workmen raised on the department, the issu 1,2018 in association with	edressal mechanism and to out of anomaly in their PF po is resolved within 30 days EPFO, Rohtak where 4 long	ninimize stake holders	Meeting Target	
Process						
Strategic Objectives	Key Performance Indicators	Unit of Measurement	Targets for the Year	H1 Milestone (April- September)	H2 Milestone (October- March)	Weightage
Capacity & Infrastructure Augmentation	Execution of Safety related major projects as per approved NPCB plan	Percentage	To Complete ETP construction	Preparation of LPR and placement of PO	Installation of ETP by March 2019	9
H1 (April to September)					
Target exceeded as along erection completed for 8 in hydrant line starting fr	with placement of purchase 50 meters of hydrant and spri om 300 NB to 80 NB placed	order for ETP (18000076-inkler pipelines, LPR proce with a total cost of Rs. 791	OQ-12101) PO placed and fi ssed and Purchase Order for 1393 to maintain the healthin	abrication including various sizes Gate Valves ess of hydrant system.	Meeting Target	
H2 (October to N	farch)					
incurring an appx, expen	Conduct Saftey Committee Meetings	valve & NRV of various si	izes ranging from 80 mm to facilities. In total 3 projects To conduct 4 safety committee meetings and	300 mm NB in hydrant line completed over target of 2 To conduct 2 safety committee meetings and	To conduct 2 safety	5
	Quarterly & Complaince		to ensure 100% compliance to previous Safety Committee Meeting recommendations	to ensure 100% compliance to previous Safety Committee Meeting recommendations	to ensure 100% compliance to previous Safety Committee Meeting recommendations	
H1 (April to September)						
Exceeded the target by con with observance of World compliance status is recon	nducting 2 Safety Committe Bio-fuel day on 10.08.2018 ded. The details of proceeding	e meetings (29.06,18 & 29. Pending points of previoung and attendance are uploa	0.09.18) & 1 Sachet Steering s Safety committee meeting aded HSE portal.	committee meeting along was discussed and	Meeting Target	
	nducting 2 SCM on 28 11 18	H"AA I bee 01 f0.01 & 8	ET cofety reasing many on 16		Exceeding Target	
gaps in safe operations of ecommendations of previsatisfaction of members, 'I inspectorate during their v	facilities and enhancement of our SCM are discussed in ci The formation of safety com- visit to plant on 8.3.19.Case is as of all members. The detail	of behavioral safety require urrent meeting and are clos mittee & the health and saf study of incidents and haza	ment was discussed and are sed after 100% implementation ty policy of the location wo ards, near misses are also dis	recorded. All on at field to the as appreciated by Factory cussed in each SCM for	the country is a second	
gaps in safe operations of ecommendations of previsatisfaction of members, 'I inspectorate during their v	facilities and enhancement of our SCM are discussed in co The formation of safety com- visit to plant on 8.3.19.Case:	of behavioral safety require urrent meeting and are clos mittee & the health and saf study of incidents and haza	ment was discussed and are sed after 100% implementation fety policy of the location wants, near misses are also dis- ce board for wider circulation	recorded. All on at field to the is appreciated by Factory custed in each SCM for in		8
gaps in safe operations of ecommendations of previous talisfaction of members. In aspectorate during their withancing safety awarene Enabling Culture	facilities and enhancement cours SCM are discussed in city of the formation of safety comissis to plant on 8.3 JC ase is so fall members. The detail Periodic Health Check-Employee/ TT & packed Cyew/ Contract workmen	of behavioral safety require urrent meeting and are clos mittee & the health and saf study of incidents and haza is are also displayed in noti	ment was discussed and are ed after 100% implementari- ety policy of the location wands, near misses are also din ce board for wider circulation To cover 100% employee and total 100 Nos contract workers / packed truck crew by arranging 2 health checkup cames 1	recorded. All on at field to the sappreciated by Factory cussed in each SCM for a To arrange 1 eye check up camp covering 50 contract workers / packed	To cover 100% employer and to arrange 1 health check up camp covering 50 contract workers /	8
apps in safe operations of commendations of prevails faction of members. In suspectorate during their mhancing safety awarene Enabling Culture HI (April to September) Exceeded the target by co. Sahadurgarh in April 201	facilities and enhancement cours SCM are discussed in city of the formation of safety comissis to plant on 8.3 JC ase is so fall members. The detail Periodic Health Check-Employee/ TT & packed Cyew/ Contract workmen	of behavioral safety require urrent meeting and are clos mittee & the health and saf study of incidents and hazs study of incidents and hazs stree also displayed in noti	ment was discussed and are eed after 100% implementariety policy of the location wide, near misses are also die ee board for wider circulation. To cover 100% employee and total 100 Nos contract workers / packed truck crew by arranging 2 health checkup camps. I for eye check up and 1 for health check up	recorded. All on at field to the sappreciated by Factory custed in each SCM for at To arrange 1 eye check up camp covering 50 contract workers / packed truck crew	To cover 100% employer and to arrange 1 health check up camp covering 50 contract workers /	8
gaps in safe operations of recommendations of previous processions of previous processions of members. Inspectorate during their renhancing safety awarene Enabling Culture HI (April to September) Exceeded the target by co Bahadungarh in April 201	facilities and enhancement cours SCM are discussed in cite formation of safety comisit to plant on 8.3 19 Case as of all members. The detail Periodic Health Check-Employee/ TT & packed Crew/ Contract workmen	of behavioral safety require urrent meeting and are clos mittee & the health and saf study of incidents and hazs study of incidents and hazs stree also displayed in noti	ment was discussed and are eed after 100% implementariety policy of the location wide, near misses are also die ee board for wider circulation. To cover 100% employee and total 100 Nos contract workers / packed truck crew by arranging 2 health checkup camps. I for eye check up and 1 for health check up	recorded. All on at field to the sappreciated by Factory custed in each SCM for at To arrange 1 eye check up camp covering 50 contract workers / packed truck crew	To cover 100% employer and to arrange 1 health check up camp covering 50 contract workers / packed truck crew	8

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Cost Leadership	Swachh Bharat - Ensure 100 % working of ETP & effluents released within limits	Percentage	100.0	100%	100%	4	
H1 (April to Septemb	er)						
disposal of generated v	vaste thru Haryana State Pollut	on Control Board re	gistered vendor GEPIL.	all parameters but also ensured Further PO finalised for it unit with the existing effluent	Meeting Target		-
H2 (October to	March)						
During H2 period 1070	Kg of ETP sludge was dispos	ed off on 01.03.2019	vide invoice no 140006	nit as per the laboratory test reports \$189 to Gujrat Enviro Protection an or sludge disposal and treatment.	Meeting Target		
Cost Leadership	Conceive & implement innovations/process improvement - 2 min	Numbers	2.0	1	1	4	
H1 (April to Septemb	er)				-		
	ovision of MCP is commissione S system to field area from the			provisions and PR processed for over target of 1 project	Meeting Target		
H2 (October to	March)						
to facilitate and streng the same was shifted to Manual Call point (M	then annunciation system in the comply safety requirement an	field itself. Earlier t d to ensure fast com io visual signal at M	hese FTUs were installed ective action in case of g ICC room and at Gate No	stem nearer to the concerned location d in the administrative building and gas leak. In HI period, Provision of o2, in case of any emergency situation		al .	
Safety	Adherence to Daily Safety Check-list & compliance to points observed	Percentage	100.0	100%	100%	3	
H1 (April to Septemb	per)						
without fail or carry of	ver. Although it is a very very	ifficult task to main	tain the auto fire fighting	ments are attended on daily basis g and safety system of the plant whi during the period under review.	Meeting Target		
H2 (October to	March)		Al.	kh			
engine log book are re- immediately to ensure maintained on daily ba with 37 deluge valves	viewed and monitored on daily round the clock auto operation sis. Maintaining safe working	basis. The anomaly of fire fighting syste atmosphere with con	in the system if pointed on. The healthiness of El aplete auto fire fighting i	port, GMS activation report, Fire out in the reports are dealt with RV and associated equipment are a facility in a huge land area of 63 act accidents are additional tasks and it	res		

Learning and Growth

Strategic Objectives	Key Performance Indicators	Unit of Measurement	Targets for the Year	HI Milestone (April- September)	H2 Milestone (October- March)	Weightage	
	BBS Implementation - reflected thru improvement in housekeeping, M&R, Safety & reduction in at- risk behaviour - evaluation thru BBS Index	Percentage	90.0	90	90	4	
H1 (April to September)	-						
committee and discuss the	Exceeded the target by achieving 96% BBS index over target of 90%. We hold monthly meetings of Sachet committee and observer committee and discuss the data gathered from field thru our sachet observers on improved behaviour of employees. As a result of which our BBS index has gone up to 96%.						
We have achieved 96% Be target of 90% and historica	chavioral based safety index al of 94%. We regularly hole ake holders. We have displa	i SACHET steering & obse	rver team meeting and disc	uss to implement better	Exceeding Target		
Competency Enhancement	Training: covering Workmen, Drivers, Contract workman, Security staff as per OISD 154 once in a year	Percentage	To cover training for 42 employees, 150 contract workers and 180 packed truck crew as per OISD 154 module.	10 training programmes covering 200 participants	10 training programmes covering 172 participants	3	
HI (April to September)							

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126 drivers. Training on a ERV operation to employe	Exceeded the target by conducting 20 training programmes covering 324 persons over target of 10 training programmes covering 200 persons. The significant training programmes are CMV rule 9 Training thru IDTR for packed truck crew on 26 to 28.01.8 covering 200 for 200 fo					
H2 (October to M	arch)					
covering 130 persons. The on 05.10.18, CMV rule 9 t Response Vehicle on 08.0	Exceeded the target by conducting 22 training programmes covering 245 persons during H2 over target of 6 training programmes overing 130 persons. The significant training programmes are seminar on disaster management & cleanliness drive by Brahmakumaris on 50.10 18, CMV rule 9 training on 04.10.18, EPF awareness programme on 02.11.18. Operations of all equipments of Emergency tesponses Vehicle on 08.03.19 to all employees. DCP operation, hose handling and operation of Fire Engines & deluge Valves covering II 30 security guards in 3 training programmes in different months.					
Competency Enhancement	Project Shapath - Safety Quotient Percentage of the location, Position Profiling as Per SQ, Weekly Safety briefings & quarterly Safety Committee meetings	Percentage	To achieve SQ target as 70%	To achieve average SQ target of 68%	To achieve average SQ target as 70%	
H1 (April to September)						
Exceeded the target by aci improving BBS target to by Brahmakumaris added	nieving average 70% SQ (S 6% from the historical of 93 to the success of exceeding	afety Quotient) score over t 3%. The Safety committee the target.	target of 68% for all the non meeting, plant sachet meeti	n-management staff by ngs, behavioural trainings	Meeting Target	
H2 (October to M	arch)					
Exceeded the target by accommittee meetings were	hieving 76% SQ (Safety Qu held on 28.11.2018 & 19.03	uotent) score over the target 3.2019	t of 70% for all non-manage	ement staff. Safety	Exceeding Target	
Competency Enhancement	Effective knowledge sharing: Presentation on Opns/Safety/M&R each officer (1 every quarter by each)	Numbers	4.0	2	2	1
H1 (April to September)						
Exceeded the target by git log is presented on 17.04 ewly commissioned Fire I	ring 3 presentations over tar 2018, Presentation to newly righting Pumpsets delivered	get of 2. One presentation of joined officer on purchase on 07.09.2018.	on newly commissioned SC activity (PR preparation) g	ADA and recording of data iven on 23.08.2018 and on	Meeting Target	
H2 (October to M	arch)		Alakl	1		
Feedback Section (April	- September)					
Discussed with Appraise	e on (Date)					
21/02/2019						
Significant Contribution	s (Min 2 Nos & Max 5 Nos	of 512 characters each)				
The Officer has shown go	od efforts in improving the p	plant SOP boards and updat	ting safety reports timely in	portal. He has ensured the	HSE index @96% against	93%.
Officer has ensured the Fir health and accident free life	re Fighting System in auto n fe of the drivers, contractor	node throughout the H1. Of workmen and security pers	fficer has actively conducte connel.	d Eye camps, health check	up and Brahamkumaris Ca	mp for maintaining good
Performance Enhanceme	ent Plan (After Discussion	with Appraisee) (Min 1 !	No & Max 5 Nos of 512 ch	aracters each)		
To ensure 100% complian	ce except NPCB in nature f	for all recommendations of	OISD/MDSA/SSA/Securi	ity Audit in H2.		
Training Plan Recomme	nded					
Behavioural Feedback (5	12 characters)					
The officer is disciplined a	and dependable. Handles pla	ant operation during the abs	ence of the plant manager.	Needs to put more involver	ment in team and put more	efforts in field activities.
Appraisee Comments					-	
improvement, BBS index,	that the achievement agains training for self and sharing e for OISD safety during A	knowledge exceeded the s	set target but supervisor feet	dback is shown as meeting	target. My exceptional per	s, innovation & process formance at LONI LPG to
Overall Feedback - Revi	ewing Officer					
Officer is very sincere and given higher responsibility	Officer is very sincere and responsible and hard working, Maintains good interpersonal relationship. Keep cool in tense situation. Already got good exposure in the field of safety. Should be given higher responsibility in other field in LPG or any other SBU.					

15. It is apparent, from a bare glance at the above remarks of the Reporting Officer of the appellant, for the year 2018-2019, that the appellant either met, or exceeded, every target set for him during the said year, under every head. The final remark reads: "Exceeded all set targets". The "Overall Feedback *of the Reviewing Officer*", in conclusion, reads:

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"Officer is very sincere and responsible and hard working. Maintains good interpersonal relationship. Keeps cool in tense situation. Already got good exposure in the field of safety. Should be given higher responsibility in other field in LPG or any other SBU."

16. Though it is true that is may be beyond our remit to afford any qualitative evaluation of the appellant, it would be myopic on our part not to observe that the appellant appears, from a reading of the above entries, to have been an officer of considerable calibre. In that view of the matter, "Part B" of the "Performance & Development Review" of the appellant for the year 2018-2019 makes for surprising reading, and may be reproduced:

P	PERFORMANCE AND DEVELOPMENT REVIEW – PART B 2018-2019						
Name:	SUBRAT	KUMAR	Employee No. 30067320				
PANIGR	RAHI						
Designat	tion: Sr. Manager	-Operations	Curr Designation: Sr. Manger-				
Locn:	Bahadurgarh	LPG Plant	Operations				
(121214	00)		Curr. Locn: Bahadurgarh LPG Plant				
Stream:	60		(12121400)				
			Stream: 60				

F. ANNUAL PERFORMANCE REVIEW

Significant Contributions made during the year:

- 1. The officer has contributed his efforts significantly to complete the installation of access control and CCTV to ensure the improvement in security safety of plant.
- 2. The officer has carried out various updates of HSE reports in portal timely.

Key Areas where performance was not in line with targets:

1. The officers could not complete the project (Revamping of ETP) assigned to him during the year <u>due to his lack of involvement and initiatives</u>. Delayed planning for execution had made it to carry over for next year.

General Behavioural Feedback

1. The officer is disciplined. He has taken care of plant operations in absence of Plant Manager in addition to his own assigned jobs. The officer needs to involve in team and field jobs for better performance of the plant. He needs to share his knowledge and experience among other officers in team to improve his learning and growth.

Appraisee Comments: My sincere regards for recommending

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	me for higher responsibilities as
	mentioned in the overall feedback. I
	confirm you Sir, I will take up higher
	assignments with continued zeal and
	dedication. I am thankful to my
	supervisors for assigning me to LONI
	LPG Plant before OISD visit and I feel
	satisfied for meeting the expectation of
	mgmt. by bringing the location
	presentable on HSE parameters while
	working in harmony with LONI LPG
	plant team. Further I also excelled in all
	my set KPI targets during the year.
Appraisee:	30067320 – SUBRAT KUMAR
	PANIGRAHI
Appraiser:	31909370

- 17. We are conscious of our limitations in matters where ACR gradings and remarks are under challenge. Judgments of the Supreme Court advocate circumspection and restraint by the Court when dealing with such challenges. This is chiefly because the performance of the officer is best known to his colleagues and superiors, and not to the Court, which has had no occasion to peruse the official's performance, or assess his work.
- 18. There can, therefore, be no question of the Court sitting in appeal over the decision of the Reporting or Reviewing Officers apropos the remarks that they have chosen to enter in the ACRs of officers under their supervision, or the grading that they have finally chosen to award. We are concerned, as are all courts exercising *certiorari* jurisdiction, with the *manner in which* the respondents have acted, rather than the *ultimate outcome* of their action.

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- 19. Viewed thus, a damaging entry in the ACR, which is contrary not only to the entire record but to the earlier comments entered by the very same officer, and is unsupported by *any material whatsoever*, cannot be allowed to stand, as it would be manifestly arbitrary. A reading of para 6 of the judgment dated 28 July 2021 of the learned Single Judge reveals that this observation of the Reviewing Officer was, in fact, the main defence of the respondent, to the challenge laid by the appellant to the "3" grading.
- **20.** Not only is the aforesaid remark in Part B of the appellant's ACR contrary to all the entries made by the Reporting Officer prior thereto, which clearly note that the appellant had not only met, but in fact often exceeded, the ETP targets; they are even contrary to the Reviewing Officer's own "Overall Feedback". It is one thing to say that the Reviewing Officer is entitled to exercise his own subjective assessment of the officer being assessed; it is altogether another to say that the Reviewing Officer can enter starkly contradictory remarks in the ACRs, with the adverse remark being opposed to all earlier entries in the ACRs. In a given case, such an action may smack of *mala fides*; in this case, no such substantial case of mala fides has been made out by the appellant and we, therefore, are spared the necessity of travelling down that path. At the very least, however, the remarks by the Reviewing Officer in Part B of the appellant's ACRs, under the head "Key Areas where performance was not in line with targets" is ex facie arbitrary and, therefore, infracts Articles 14 and 16 of the Constitution of India.

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21. Despite grant of opportunity, Mr. Pawan Narang, learned Counsel for the respondents, is unable to provide any basis for the afore-noted entry made by the Reviewing Authority in the performance and development review of the appellant for the year 2018-2019. He ventured to suggest that, if the entire records of the appellant were perused, some basis for the comment might be forthcoming. We are not inclined to embark on any such roving inquiry. Our remit is not to seek to justify, one way or the other, the damaging remark entered by the Reviewing Officer. In view of the preceding entries in the appellant's ACRs, and the concluding remark by the Reviewing Officer himself, the very least that was required was some mention of the basis for such a discordant entry having been entered by him in Part B of the ACRs. The Reviewing Officer has not chosen to do so. Extrapolating, to the entries in the ACRs, the timehonoured principle, enunciated by Krishna Iyer J. in *Mohinder Singh* Gill v Chief Election Commissioner⁶, that an order has to speak for itself, the entries by the Reviewing Officers in the appellant's ACRs had to speak for themselves. Of course, the Reviewing Officer was not required to adduce detailed reasons for his comments; however, for a comment as discordant with the rest of the ACRs as that entered under the head "Key Areas where performance was not in line with targets", some justification for the comment had to be forthcoming.

22. Mr. Narang has, however, sought to submit, firstly, that the overall grading of "3" awarded to the appellant for the year 2018-

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2019 was in sync with the remarks entered in his ACRs even if the damaging entry of the Reviewing Officer under the head "Key Areas where performance was not in line with targets" were to be ignored, and, secondly, that the appellant had, in his "Appraisee Comments" towards the end of Part B of the ACRs, not protested or objected to the said remark, thereby indicating that he accepted its correctness.

- **23.** We are not impressed by either contention.
- 24. Once the injurious entry by the Reviewing Officer in the appellant's ACRs is found to be unsustainable and arbitrary, the sequitur has necessarily to be a re-assessment by the Reviewing Officer. As is apparent from the preceding entries made in the appellant's ACR for the year 2018-2019, the appellant has been uniformly graded as an "Excellent officer", with the final recommendations of the Reviewing Officer being that he should be given higher responsibility. ETP targets had been noted not just to have been met, but also, often, exceeded by him. The entry by the Reviewing Officer in Part B of the appellant's ACRs under the head "Key Areas where performance was not in line with targets" is, therefore, obviously seriously damaging to the appellant, and it remains in the realm of conjecture as to the grading which would ultimately have been accorded to him, were the said entry not to have been present. We cannot, therefore, presume that the appellant would still have been graded "3", especially as the final comment of the Reporting Officer was that he had "exceeded all set targets", which was echoed by the Reviewing Officer (in Part A of the ACR), who in

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fact recommended that he deserved to be shouldered with higher responsibilities.

- 25. Insofar as the "Appraisee Comments" in Part B of the ACRs are concerned, they, quite obviously, cannot operate as estoppel against the appellant challenging the injurious entry in Part B which preceded it. There is neither an express, nor any implied, acceptance, by the appellant of the said entry. In any event, it would be unthinkable to hold that the appellant can be foreclosed from challenging the entry, whatsoever the outcome of the challenge might be.
- 26. Reliance has also been placed, by the learned Single Judge, on the decision of the appellate authority, to whom the appellant had appealed against the grading of "3" awarded to him. There is no notice, however, by the appellate authority, of the apparent discordance between the entry in Part B of the appellant's ACR and the preceding entries in Part A, including the entry by the Reviewing Authority himself, recommending that the appellant be given higher responsibilities in view of his capability. The order of the appellate authority cannot, therefore, in our considered opinion, eradicate the effect of the injurious entry by the Reviewing Officer in Part B of the appellant's ACRs. Even otherwise, in our opinion, so fatal, to the integrity of the appellant's ACR, is the discordant entry by the Review Officer in Part B thereof, that an apparently reasoned appellate order cannot infuse it with life.

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Conclusion

- 27. Resultantly, inasmuch as the comment by the Reviewing Officer in Part B of the appellant's ACR for 2018-2019 under the head "Key Areas where performance was not in line with targets" cannot sustain the scrutiny of law, the said entry, as well as the grading of "3" granted to the appellant for the year 2018-2019, awarded consequent thereto, are also quashed and set aside.
- **28.** Part B of the appellant's ACRs for the year 2018-2019 is, therefore, directed to be rewritten, and a fresh grading granted to the appellant, within a period of four weeks from today.
- 29. Though we express our dissatisfaction about the manner in which the Reviewing Officer has conducted himself in the present matter and had made the aforesaid entry in the appellant's performance and development review, we refrain from making any further comments in the order as the said officer has not been impleaded personally as a party in the present case.
- **30.** Observations contained in this judgment are only intended to address the challenge, by the appellant, to the grading of "3" awarded to him in his ACRs for the year 2018-2019. They are not intended to represent any opinion, by us, on the appellant's performance, or the grading to which he may ultimately be entitled. The respondent would

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objectively re-assess the appellant, but in accordance with law, and keeping in mind his overall performance, and the entries in Part A of his ACRs.

- **31.** The impugned order dated 28 July 2021 is, therefore, quashed and set aside.
- **32.** Inasmuch as Mr. Mahapatra restricted his case to the grading of "3" awarded to the appellant for the year 2018-2019, the order dated 6 August 2021, insofar as it rejects the appellant's challenge to the grading of "4" awarded for the year 1996-1997, remains undisturbed.
- **33.** WP (C) 4005/2020 filed before the learned Single Judge, as well as the present appeal, stand allowed to the aforesaid extent.

C. HARI SHANKAR, J.

DR. SUDHIR KUMAR JAIN, J.

OCTOBER 23, 2024/*dsn*

Click here to check corrigendum, if any

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